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12-26-01

PTO/SB/05 (11-00)

Approved for use through 10/31/2002 OMB 0651-0032

U.S. Patent and Trademark Office U.S. DEPARTMENT OF COMMERCE

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**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))

Attorney Docket No 112047-0050

First Inventor Anne H. Anderson et al.

Title SYSTEM AND METHOD FOR FACILITATING OPERATOR  
AUTHENTICATION

Express Mail Label No EL 705 607 043 US

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 29 ]  
(preferred arrangement set forth below)  
- Descriptive title of the Invention  
- Cross References to Related Applications  
- Statement Regarding Fed sponsored R & D  
- Reference to sequence listing, a table,  
or a computer program listing appendix  
- Background of the Invention  
- Brief Summary of the Invention  
- Brief Description of the Drawings (if filed)  
- Detailed Description  
- Claim(s)  
- Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 4 ]
5. Oath or Declaration [Total Pages ]  
a. ☒ Newly executed (original or copy)  
b. ☐ Copy from a prior application (37 CFR 1.63 (d))  
(for a continuation/divisional with Box 18 completed)  
i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s)  
named in the prior application, see 37 CFR  
1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

**ADDRESS TO:**Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or  
Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)  
a. ☐ Computer Readable Form (CRF)  
b. Specification Sequence Listing on:  
i. ☐ CD-ROM or CD-R (2 copies); or  
ii. ☐ paper  
c. ☐ Statements verifying identity of above copies

**ACCOMPANYING APPLICATIONS PARTS**

9. ☒ Assignment Papers (cover sheet & document(s))
10. ☐ 37 C.F.R. §3.73(b) Statement ☒ Power of  
(when there is an assignee) Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure ☐ Copies of IDS  
Statement (IDS)/PTO-1449 Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122  
(b)(2)(B)(i). Applicant must attach form PTO/SB/35  
or its equivalent.
17. ☐ Other:

**18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment,  
or in an Application Data Sheet under 37 CFR 1.76:**

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No. \_\_\_\_ /  
Prior application information: Examiner \_\_\_\_ Group / Art Unit.

**For CONTINUATION or DIVISIONAL APPS only:** The entire disclosure of the prior application, from which an oath or declaration is supplied  
under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference.  
The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**17. CORRESPONDENCE ADDRESS**☒ Customer Number or Bar Code Label

(Insert C)

or ☐ Correspondence address below

Name **A. Sidney Johnston** 24267  
PATENT TRADEMARK OFFICE

Address **Cesari and McKenna**  
**88 Black Falcon Avenue**

City **Boston** State **MA** Zip Code **02210**

Country **U. S. A.** Telephone **(617) 951-2500** Fax **(617) 951-3927**

Name (Print/Type) **A. Sidney Johnston** Registration No. (Attorney/Agent) **29,548**

Signature *A. Sidney Johnston* Date **December 18, 2001**

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12/18/01

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# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

## Complete if Known

|                      |                         |
|----------------------|-------------------------|
| Application Number   | Not yet assigned        |
| Filing Date          | Not yet assigned        |
| First Named Inventor | Anne H. Anderson et al. |
| Examiner Name        | Not yet assigned        |
| Group Art Unit       | Not yet assigned        |
| Attorney Docket No.  | 112047-0050             |

TOTAL AMOUNT OF PAYMENT (\$)

1,386

## METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit  
Account  
Number

03-1237

Deposit  
Account  
Name

Cesari and McKenna, LLP

☒ Charge Any Additional Fee Required  
Under 37 C.F.R. §§1.16 and 1.17

☐ Applicant claims small entity status  
See 37 C.F.R. 1.27

2. ☒ Payment Enclosed:

☒ Check ☐ Credit card ☐ Money Order ☐ Other

## FEE CALCULATION

## 1. BASIC FILING FEE

| Large Entity | Small Entity | Fee Description        | Fee Paid |
|--------------|--------------|------------------------|----------|
| Fee Code     | Fee Code     |                        |          |
| 101          | 201          | Utility filing fee     | 740      |
| 106          | 206          | Design filing fee      |          |
| 107          | 207          | Plant filing fee       |          |
| 108          | 208          | Reissue filing fee     |          |
| 114          | 214          | Provisional filing fee |          |
| SUBTOTAL (1) |              |                        | 740      |

## 2. EXTRA CLAIM FEES

| Total Claims       | Extra Claims | Fee from below | Fee Paid |
|--------------------|--------------|----------------|----------|
| 49                 | 20**         | 29             | 522      |
| Independent Claims | 4            | 1              | 84       |
| Multiple Dependent |              |                | 0        |

| Large Entity | Small Entity | Fee Description   |
|--------------|--------------|---|
| Fee Code     | Fee Code     |   |
| 103          | 203          | Claims in excess of 20                                    |
| 102          | 202          | Independent claims in excess of 3                         |
| 104          | 204          | Multiple dependent claim, if not paid                     |
| 109          | 209          | **Reissue independent claims over original patent         |
| 110          | 210          | **Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) (\$)

606

\*\*or number previously paid, if greater, For Reissues, see below

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

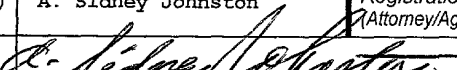
Large Entity Small Entity

| Fee Code            | Fee (\$) | Fee Code | Fee (\$) | Fee Description  | Fee Paid |
|---------------------|----------|----------|----------|--|----------|
| 105                 | 130      | 205      | 65       | Fee Surcharge - late filing fee or oath                                    |          |
| 127                 | 50       | 227      | 25       | Surcharge - late provisional filing fee or cover sheet                     |          |
| 139                 | 130      | 139      | 130      | Non-English Specification  |          |
| 147                 | 2,520    | 147      | 2,520    | For filing a request for ex parte reexamination                            |          |
| 112                 | 920      | 112      | 920*     | Requesting publication of SIR prior to Examiner action                     |          |
| 113                 | 1,840    | 113      | 1,840*   | Requesting publication of SIR after Examiner action                        |          |
| 115                 | 110      | 215      | 55       | Extension for reply within first month                                     |          |
| 116                 | 400      | 216      | 200      | Extension for reply within second month                                    |          |
| 117                 | 920      | 217      | 460      | Extension for reply within third month                                     |          |
| 118                 | 1,440    | 218      | 720      | Extension for reply within fourth month                                    |          |
| 128                 | 1,960    | 128      | 980      | Extension for reply within fifth month                                     |          |
| 119                 | 320      | 219      | 160      | Notice of Appeal   |          |
| 120                 | 320      | 220      | 160      | Filing a brief in support of an appeal                                     |          |
| 121                 | 280      | 221      | 140      | Request for oral hearing   |          |
| 138                 | 1,510    | 138      | 1,510    | Petition to institute a public use proceeding                              |          |
| 140                 | 110      | 240      | 55       | Petition to revive - unavoidable   |          |
| 141                 | 1,280    | 241      | 640      | Petition to revive - unintentional   |          |
| 142                 | 1,280    | 242      | 640      | Utility Issue fee (or reissue)   |          |
| 143                 | 460      | 243      | 230      | Design Issue fee   |          |
| 144                 | 620      | 244      | 310      | Plant Issue fee  |          |
| 122                 | 130      | 122      | 130      | Petitions to the Commissioner  |          |
| 123                 | 50       | 123      | 50       | Processing fee under 37 CFR 1.17(q)  |          |
| 126                 | 180      | 126      | 180      | Submission of Information Disclosure Stmt                                  |          |
| 581                 | 40       | 581      | 40       | Recording each patent assignment per property (times number of properties) | 40       |
| 146                 | 740      | 246      | 370      | Filing a submission after final rejection (37 CFR 1.129(a))                |          |
| 149                 | 740      | 249      | 370      | For each additional invention to be examined (37 CFR 1.129(b))             |          |
| 179                 | 740      | 279      | 370      | Request for Continued Examination (RCE)                                    |          |
| 169                 | 900      | 169      | 900      | Request for expedited examination of a design application                  |          |
| Other fee (specify) |          |          |          |  |          |
| SUBTOTAL (3) (\$)   |          |          |          |  | 40       |

\*Reduced by Basic Filing Fee Paid

## SUBMITTED BY

Complete (if applicable)

|                   |   |                                   |        |           |                   |
|-------------------|---|-----------------------------------|--------|-----------|-------------------|
| Name (Print/Type) | A. Sidney Johnston  | Registration No. (Attorney/Agent) | 29,548 | Telephone | (617) 951-2500    |
| Signature         |  |                                   |        | Date      | December 18, 2001 |

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In Re The Application of: )  
Anne H. Anderson et al. )  
Serial No.: Not yet assigned ) Examiner: Not yet assigned  
Filed: Not yet assigned ) Art Unit: Not yet assigned  
For: SYSTEM AND METHOD FOR )  
FACILITATING OPERATOR )  
AUTHENTICATION )  
Cesari and McKenna, LLP  
88 Black Falcon Avenue  
Boston, MA 02210  
December 18, 2001

**EXPRESS-MAIL DEPOSIT**

“Express Mail” Mailing-Label Number: EL 705 607 043 US

The following papers are being deposited with the United States Postal Service  
“Express Mail Post Office to Addressee” service pursuant to 37 C.F.R. §1.10:

|  |  |
|--|--|
| <u>X</u> Patent Application ( 29 pages including 49 claims ) | <u>X</u> Declaration                                   |
| <u>X</u> Power of Attorney                                   | <u>X</u> Utility Patent Application Transmittal Letter |
| <u>X</u> Fee Transmittal Letter                              | <u>X</u> Formal Drawing ( 4 sheets )                   |
| <u>X</u> Assignment With Recordation Form Cover Sheet        | <u>X</u> Check for \$40                                |
| <u>X</u> Check for \$1346                                    |  |